

# UTILITY PATENT APPLICATION

## TRANSMITTAL

(Only for original applications)

**APPLICATION ELEMENTS**  
See MPEP chapter 600 concerning utility patent application contents.

Attorney Docket No. 826.1799

First Named Inventor or Application Identifier:  
Hiroaki KIMURA, et al.

Express Mail Label No.

ADDRESS TO:

**Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, DC 20231**

Jc997 U.S. PTO  
10/083161

02/27/02

1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract .....[Total Pages: 35]
3. ☒ Drawing(s) (35 USC 113) .....[ Total Sheets: 20 ] [FIGS. 1A-17 ]
4. ☒ Oath or Declaration .....[ Total Pages: 4 ]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63(d))
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Verified Statement Claiming Small Entity Status
6. ☐ Application Data Sheet. See 37 C.F.R. 1.76
7. ☐ Applicant claims foreign priority benefit to: Japanese Application 2001-328022 filed October 25, 2001
8. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

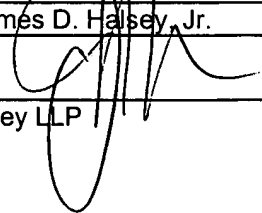
10. ☒ Assignment (cover sheet & document(s)) to Fujitsu Limited of Kawasaki, Japan ✓  
☒ for publication of assignee information under 37 CFR 1.215(b)
11. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
16. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
17. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
18. ☐ Other:

## 19. CORRESPONDENCE ADDRESS



21171

PATENT TRADEMARK OFFICE

<b>NEW APPLICATION FEE TRANSMITTAL</b>		Attorney Docket No.		826.1799			
		Application Number					
		Filing Date		February 26, 2002			
AMOUNT ENCLOSED		\$ 780.00		First Named Inventor		Hiroaki KIMURA, et al.	
<b>FEE CALCULATION</b> (fees effective 10/01/01)							
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS		
	TOTAL CLAIMS	8 - 20 =	0	X \$ 18.00 =	\$ 0.00		
	INDEPENDENT CLAIMS	3 - 3 =	0	X \$ 84.00 =	0.00		
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00 =			
				BASIC FILING FEE		740.00	
				Total of above Calculations =		\$ 740.00	
	Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)						
	Reduction by 50% for filing by small entity (37 CFR 1.27).						
				TOTAL FILING FEE =		\$ 740.00	
	Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))						
	Recordation of Assignment (\$40.00; 37 CFR 1.21(h))					40.00	
				TOTAL FEES DUE =		\$ 780.00	
<b>METHOD OF PAYMENT</b>							
<input checked="" type="checkbox"/> Check enclosed as payment. <input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below. <input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).							
<b>GENERAL AUTHORIZATION</b>							
<input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:							
Deposit Account No.		19-3935					
Deposit Account Name		STAAS & HALSEY LLP					
<input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.							
<b>SUBMITTED BY: STAAS &amp; HALSEY LLP</b>							
Typed Name		James D. Halsey, Jr.		Reg. No.		22,729	
Signature				Date		February 26, 2002	

#3  
Priority  
paper  
MAA  
6-14-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Hiroaki KIMURA, et al.

Application No.:

Group Art Unit:

Filed: February 26, 2002

Examiner:

For: CACHE CONTROL DEVICE AND METHOD

jc997 U.S. PTO  
10/083161  
02/27/02

**SUBMISSION OF CERTIFIED COPY OF PRIOR FOREIGN  
APPLICATION IN ACCORDANCE  
WITH THE REQUIREMENTS OF 37 C.F.R. § 1.55**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. § 1.55, the applicant(s) submit(s) herewith a certified copy of the following foreign application:

Japanese Patent Application No. 2001-328022

Filed: October 25, 2001

It is respectfully requested that the applicant(s) be given the benefit of the foreign filing date(s) as evidenced by the certified papers attached hereto, in accordance with the requirements of 35 U.S.C. § 119.

Respectfully submitted,

STAAS & HALSEY LLP

Date: February 26, 2002

By: \_\_\_\_\_

James D. Halsey, Jr.  
Registration No. 22,729

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JAPAN PATENT OFFICE

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02/27/02

This is to certify that the annexed is a true copy of the following application as filed with this Office.

Date of Application: October 25, 2001

Application Number: Patent Application  
No. 2001-328022

[ST.10/C]: [JP2001-328022]

Applicant(s): FUJITSU LIMITED

January 18, 2002

Commissioner,

Japan Patent Office Kozo OIKAWA

Certificate No. 2001-3116931